

08-27-01

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5137

First Named Inventor or Application Identifier

Masakazu Ukita

Express Mail Label No: EL834556134US

 ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 89
2. ☒ Drawing(s) (35USC 113) Total Pages 16
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14

completed)

[Note Box 4 Below]

i. ☐ DELETION OF INVENTOR(S)

 Signed statement attached deleting
Inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2) and 1.33(b).

- ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No.
P2000-253306 filed August 24, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
					\$710.00
TOTAL CLAIMS		32	12	\$18.00	216.00
INDEPENDENT CLAIMS		8	5	80.00	400.00
	ANY MULTIPLE DEPENDENT CLAIMS?			270.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
				TOTAL FEE	\$1,326.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,326.00 to cover the filing fee is enclosed.

 15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: August 24, 2001

David R. Metzger
312/876-2578

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com

Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.
West Palm Beach

August 24, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"SIGNAL PROCESSING APPARATUS AND SIGNAL PROCESSING
METHOD"
Applicant(s) Masakazu Ukita
Attorney Docket No. 09792909-5137

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 32 claims (8 independent), 16 sheets of drawings (Figs. 1-18) and the filing fee of
\$1,325.00 on behalf of

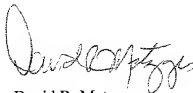
MASAKAZU UKITA

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:



David R. Metzger

DVM:kat
Enclosures

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834556134US

Date of Deposit: August 24, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Masakazu Ukita

Attorney Docket No. 09792909-5137

\$1,326.00 filing fee (32 claims - 8 independent)

Drawings (16 sheets - Figs. 1-18)

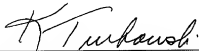
Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-253306

Return postcard



Signature of Person Mailing Application and Fees